



INSPECTOR GENERAL REPORT

2010-08-0215

September 17, 2012

SPD BENEFITS INVESTIGATIONS (FAILURE TO RESPOND TO AUDIT)

Inspector General David O. Thomas reports after multiple investigations by OIG Special Agents and OIG Attorneys, as follows:

Report 2010-08-0152 (Report) was issued on December 14, 2011 by the Office of the Inspector General (OIG) to summarize its findings in several cases involving a statewide audit of state employee benefits by the State Personnel Department (SPD). Multiple reports on this topic were previously published in 2011. SPD contracted with AON to conduct this audit to confirm the eligibility of all dependents carried by state employees on their health insurance plans.

Each of the initial cases reported on by the OIG were characterized by three findings: (1) the state employees failed to respond to AON's requests for documents or SPD's inquiries; (2) OIG Special Agents found no fraud in investigating the claims further; and (3) the state employees faced agency reprimand or response for their failure to comply including, in some cases, termination from state employment.

Each of these cases involved a different set of factual circumstances and a separate investigation; therefore, each case is individually reported with the relevant information regarding the employee's failure to comply with the audit. This group of cases was also presented to the Marion County Prosecuting Attorney's Office but criminal prosecution was declined.

Dated this 17th day of September, 2012.

APPROVED BY:

/s/ David O. Thomas, Inspector General